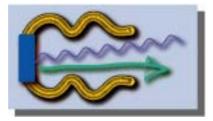
17th March 2004

JRA2 in the CARE proposal

<u>Title</u>: Charge production with Photo-injectors

Acronym: PHIN Coordinator: A. Ghigo (INFN-LNF)

Deputy: L. Rinolfi (CERN)



Participating Laboratories and Institutes:

Institute	Acronym	Country	Coordinator	PHIN Scientific Contact	Associated to
CCLRC Rutheford Appletone Lab. (20)	CCLRC-RAL	UK	P. Norton	G. Hirst	
CERN Geneva (17)	CERN	СН	G. Guignard	G. Suberlucq	
CNRS-IN2P3 Orsay (3)	CNRS-Orsay	F	T. Garvey	G. Bienvenu	CNRS
CNRS Lab. Optique Appl. Palaiseau (3)	CNRS-LOA	F	T. Garvey	V. Malka	CNRS
ForschungsZentrum ELBE (9)	FZR-ELBE	D	J. Teichert	J. Teichert	
INFN-Lab. Nazionali di Frascati (10)	INFN-LNF	Ι	S. Guiducci	A. Ghigo	INFN
INFN- Milan (10)	INFN-MI	Ι	C. Pagani	I. Boscolo	INFN
Twente University- Enschede (12)	TEU	NL	J.W.J. Verschuur	J.W.J. Verschuur	

<u>Main Objectives</u>: Perform Research and Development on charge-production by interaction of laser pulse with material within RF field and improve or extend the existing infrastructures in order to fulfil the objectives. Coordinate the efforts done at various Institutes on photo-injectors.

Cost:

Total Cost	Requested Cost
3.851 M€(FC) + 2.150 M€(AC) Total = 6.001 M€	3.542 M€

JOINT RESEARCH ACTIVITY ON PHOTOINJECTORS (JRA3 – PHIN)

The JRA on photoinjector is mainly devoted to improve the characteristics of the electron sources for the future e^+e^- colliders. In particular the PHIN JRA is addressed to:

- Development of the high charge e beam (drive beam) for the RF power source of the two-beam linear collider CLIC (CERN).
- Realisation of high brightness e⁻ beam for CLIC main beam studies and for tests of linear collider sub-systems.
- Realisation of the first photoinjector that uses a photocathode, laser driven, in a superconducting RF gun for application in ELBE (Rossendorf) and possible use in TESLA Test Facility (Desy).
- Study of the TESLA electron source.

CARE

- Realisation of new electron source for NEPAL (Orsay) test stand.
- Realisation of the new injector for TEU-FEL (Twente). Realization of new photo cathodes and methods to improve the stability and durability of the cathodes under various modes of operation, including laser duration and power.

Benefits are also expected in picosecond and femtosecond chemistry, cancer therapy, medical imaging, light sources and free-electron lasers. Concerning the latter, side benefits exchange of information and common workshops are planned with the complementary JRA dealing with the studies of the generation of transversely strongly-cooled e⁻ beams specifically needed for synchrotron radiation sources.

The technique of charge-production studied in this JRA covers the interaction of lasers with photoemissive materials within an RF field. The goal is to produce an electron source with brightness unachievable with conventional thermoionic gun. In fact two features contribute to improve simultaneously the charge, the current and the emittance of the beam: the first is due to the fact that the electron current density production is more efficient in the photoemission process respect to the thermoionic one. The second is that the voltage on cathode, necessary to reduce the space charge and the electron shielding effects, is much higher in an RF gun (100MV/m) respect the DC one (10 MV/m).

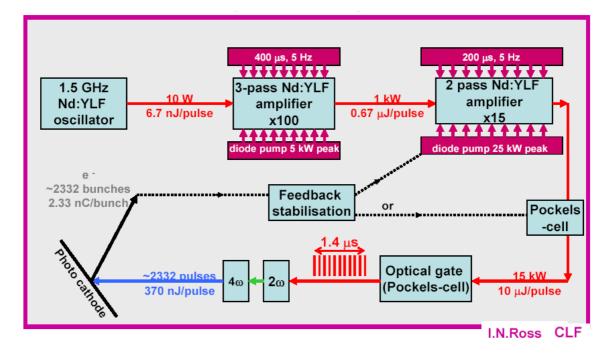
The peak current from photoinjector is at least one order of magnitude higher than a thermoionic injector and the emittance is one order of magnitude lower.

As said before, one of the main PHIN JRA topics concerns the CTF3 drive: the requests on the CLIC test facility drive beam (CTF3) photoinjector are very challenging, as shown in the following table, for the long train of pulses, the high charge per bunch, the pulse to pulse charge stability, photocathode lifetime and the temporal structure.

	Unit	CTF3
Pulse train duration	μs	1.548
Pulse train charge	nC	5434
Average current in the pulse train	А	3.51
Number of bunches in the sub-pulse	-	212
Odd/even sub pulse width (FWHM)	ns	140.735
Number of bunches in the pulse train	-	2310
Bunch charge	nC	2.33
Bunch width (FWHH)	ps	10
Distance between bunches	ns	0.667
Charge stability (rms)	%	≤ 0.25
Repetition rate	Hz	1 - 50
Minimum QE at λ_{laser}	%	3
Minimum lifetime at QE_{\min}	h	40

CTF3 Drive Beam Parameters

A layout of the laser proposed for CTF3 is shown in the figure below



CTF3 Laser system

An alternative photoinjector is also explored: an energetic and bright electron beam is generated from the interaction of a high intensity laser with a gas jet. The electric field generated in the plasma of the order of 1TV/m, boosts the electron of plasma, from 0 to 200 MeV in less than 1mm. The very good quality of the electron beam (normalized emittance < 2π mm-mrad) and the transverse initial size of the beam can be very small, of the order of few hundred microns. Regarding this subject, PHIN JRA aims at the production of mono-energetic electron beam of few hundred MeV ($\Delta E/E < 0.1$, Normalized emittance <0.1 π mm-mrad), mono-energetic electron beam with variable energy from 1 to 50 MeV.

The development of a superconducting photoinjector is also proposed in the PHIN JRA: the superconducting cavity of the RF gun is a TESLA type half cell closed by shallow cone with a centered hole in which the cathode is situated. Special insulation and RF filters are inserted to decouple the cathode zone from the rest of the cavity. The goal is to produce very high quality beam with charge per pulse and temporal structure optimized for ELBE superconducting accelerator and for TESLA test facility.

1. SCIENTIFIC AND TECHNOLOGICAL EXCELLENCE

1.1 Objectives and originality of the joint research project

1.1.1 **Main Goal:** Perform R&D on charge-production by lasers and improve or extend the existing infrastructures in order to fulfil the objectives. The aim is to produce long trains of high charge electron pulses with an unprecedented stability in terms of pulse-to-pulse charge, and transverse and longitudinal structure. A new generation of photoinjector with super-conducting RF gun is foreseen. A test of a very promising alternative electron source is also expected. The completion of these three subjects will put the participating laboratories at the forefront of electron production.

In order to fulfil the program, the coordination of the efforts produced by the various Institutes on this topic is mandatory.

Global objectives:

- Create a synergy of efforts for various photoinjector applications.
- Identify and deal with common problems.
- Perform R&D on electron production, improve existing infrastructures and carry out validation tests of developed models.

Specific Objectives:

- Study and model the beam dynamics in the RF gun.
- Develop normal and SC RF-guns for medium-high charges.
- Optimize the RF guns in order to satisfy thermal constraints and vacuum requirements of photo-cathode.
- Optimize the combined system laser-photo-cathode for various applications, seeking for a trade-of between cathode lifetime, laser power and wavelength.
- Study and develop optical and laser installations for the generation of the various space and time beam-distributions related to the various PI applications.
- Investigate means of generating complex timing, and of shaping laser pulses.
- Develop necessary instrumentation.
- Make the necessary developments to improve existing installations in order to satisfy the objectives.
- 1.1.2 The objectives are addressed by bringing together the expertise which the various European Institutes developed in one of the three main areas of interest for the photoproduction of electrons, which are:
 - Charge production and photo-cathodes.
 - Lasers, including high-power, short-pulse and low-power, long-pulse lasers.
 - RF guns, beam-dynamics studies, flat-beam optics.

An important aspect of the project is to make existing infrastructures available to all participants in order to perform tests and R&D experiments. Conversely, the R&D activities made in common may result in extensions and improvements of these existing infrastructures for the benefits of all partners.

Bringing the efforts of each laboratory together is one of the most beneficial aspects, since industry doesn't provide complete sub-systems of photo-injectors, which, therefore, need to be specifically developed for each application.

The outcome of this R&D program is also of general interest for the industry working on related domains like the lasers.

1.2 Execution-plan of the joint research activity

The JRA-PHIN is subdivided into four work packages, which correspond to the main areas of interest indicated above. The content of each work-package is detailed below.

a. Plan for Work-package 1 – Management & Communication

The following tasks are treated:

- Oversee and coordinate the work of the entire JRA. (INFN-LNF, CERN, CCLRC-RAL, FZR, CNRS-Orsay).
- Organize the Steering Committee meeting (INFN-LNF, CERN).
- Ensure proper reviewing and reporting as well as dissemination of the knowledge within the JRA and the CARE project (INFN-LNF, CERN).
- Creation of tools, databases and web site are foreseen (INFN-LNF, CERN, CCLRC-RAL, FZR, CNRS-Orsay).

b. Execution plan for Work-package 2 – Charge Production

The objective of the charge production work package is the development of semiconductor photo-cathodes with improved properties, especially lifetime and quantum efficiency:

- Construction, preparation and extension of test equipments (FZR, CNRS, TEU).
- Improvement of fabrication technology, basic knowledge and study of new materials (CERN, FZR).
- Tests at different labs and comparative studies, time measurement diagnostics (CERN, FZR, TEU).
- Study of an alternative way for photoelectron production (CNRS-LOA), using a high-intensity laser with a gas jet. Acceleration by laser wake field of electrons up to several 100 MeV. Production of mono-energetic electrons.

Deliverables:

- Reports on photo-cathode production and improved preparation equipments.
- Photo-cathode preparation chamber with ultra high vacuum technology.
- Reports on test results, with optimised properties according to the needs of the photo-injectors of the project partners, improved diagnostics.
- Reports on tests with mono-energetic electrons up to 50 MeV, to benchmark the model with improved diagnostics.
- Reports on tests with the generation of high energy (200 MeV) mono-energetic electron beams with low emittance for injector application.

c. Execution plan for Work-package 3 - Lasers.

The work-package is divided into two tasks:

First Task

Design and develop laser system to meet the requirements of the CTF3 photo-injector (program already undertaken in CTF2). The laser system will consist of:

- High power mode-locked oscillator.
- One or more high power amplifiers.
- Frequency conversion stage to generate the required UV wavelength for the photo-cathode.

Development activities:

- Increase the power of existing oscillators (CCLRC-RAL, CNRS-LOA).
- Optimise the design of the amplifiers for required power output at minimum cost and complexity (CCLRC-RAL, CERN, CNRS-LOA).
- Efficiently convert the laser output wavelength to UV using new harmonic generator crystals (CCLRC-RAL, CERN, CNRS-LOA).
- Establish ultra-high stability including the use of charge and pointing stability feedbacks (CCLRC-RAL, CERN, INFN-LNF).

Second Task

Investigate and test systems for complicated, ultra-fast, optical waveforms according to user specifications, as those for the new generation of FEL, with benefits for linac photo-injectors.

Use expertise of CNRS-LOA and access to their Ti:Sa laser for test.

Test and study of Ti:Sa laser pulse jitter, laser diagnostics and stability on photocathode.

The waveform systems considered are:

- Liquid Crystal Modulator-Computer Programmable (LCM-CP) system.
- Collinear acousto-optic programmable dispersive filter (AOPDF-DAZZLER).

Development activities:

- Computer codes for the algorithm driving the shaping system (INFN-Mi, CNRS-LOA).
- Tests of both the LCM-CP and the AOPDF-DAZZLER (INFN-Mi, INFN-LNF, CNRS-LOA).
- Ensure sub-picosecond synchronisation to the RF (INFN-Mi).

Deliverables:

Laser-System meeting CTF3 requirements:

- High power oscillator.
- Specific amplifiers.
- Specific frequency conversion stages.
- Test of feedback systems.

Pulse shaper:

- Model, waveform synthesis.
- Assessment of various temporal-profile pulses.
- Photo-cathode test results on timing, jitter and stability.

d. Execution plan for Work-package 4 – RF guns and beam dynamics.

The aim of this WP is the development of RF guns for high charge and high average current or very short pulses. Improve the associated test stands. Spin-off for high power light-sources is expected. The work-package is divided into three tasks.

First Task

- Design and technical evaluation of photo-injectors for high charge, high average current, or short pulses (CNRS-Orsay, CERN).
- Numerical simulation and engineering optimisation for a Super-conducting RF photo-gun; cavity, coupler, RF focusing (FZR).
- Design optimisation and construction of new SC RF gun prototype (FZR).

Second Task

- Study and construction of two RF guns at 3 GHz for the injectors of the existing test facilities CTF3 (CERN) and NEPAL (CNRS-Orsay).
- Installation and commissioning of long pulse train of high charge and short bunches at CERN (CERN, INFN-LNF).
- Improvement of test stand NEPAL in order to achieve the gun tests mentioned above (CNRS-Orsay).

Third Task

For the alternative photo-cathode device proposed by CNRS-LOA, development (beam dynamics) and acquisition of:

- 0-50 MeV compact electron spectrometer (CNRS-LOA, CERN)
- 0-1 GeV electron spectrometer (CNRS-LOA, CERN)

Deliverables:

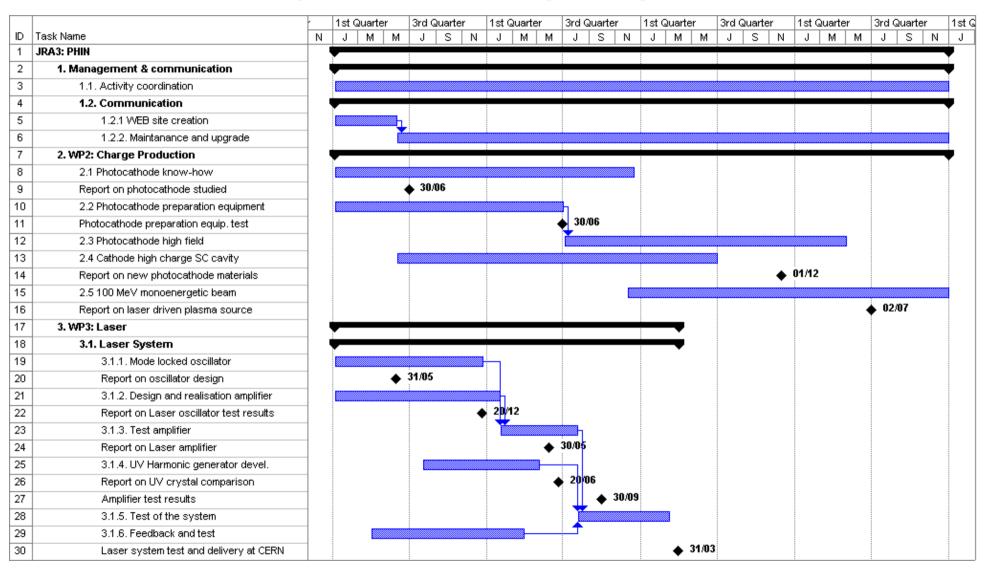
- Design evaluation of high-charge photo-injector.
- Engineering of SC photo-gun.
- Prototype of SC gun.
- RF gun for CTF3 and NEPAL high-charge short bunches.
- Prototype of RF gun with CLIC characteristics for test.
- Spectrometers at different energy range for mono-energetic e beam diagnostics.
- Improvements of test facilities CTF3 and NEPAL.

1.2.1 Multi-annual implementation plan

The Gantt chart (Fig. 1.2.1) shows the general planning by trimester over the period 2004-2007 for each Work Package.

CARE

Fig. 1.2.1: PHIN-JRA Multi-annual implementation plan



JRA3 PHOTO-INJECTORS

		ł	1st	Quarte	er	3rd (Quarte	er	1st (Quart	ter	3rd	Quar	ter	1st	Qua	rter	;	Brd G	Juart	er	18	1st Quarter			3rd Quarter		r	1st
ID	Task Name	N	J	M	M	J	S	N	J	M	M	J	S	N	J	N	1 N	vi 🗌	J	S	N	J	N	1	M	J	S	N	J
31	3.2. Pulse shaping system	_	-													•													
32	3.2.1. Simulation and design	1				Ľ.																							
33	3.2.2. Phase mask acquisition and test	1				`			-																				
34	3.2.3. Dazzler acquisition and test	1				-			÷																				
35	Report on pulse shaper comparison	1										÷ 30)/06																
36	3.2.4. Timing jitter stability test	1																											
37	4. WP4: GUN	•	-																						-			-	
38	4.1. SC RF gun	•	-																			•							
39	4.1.1. Technology development	1				-			Ľη																				
40	4.1.2. RF gun simulation optimisation	1							ŧ.																				
41	4.1.3. SC RF Gun realisation	1										-				Ь													
42	4.1.4. SC RF gun test	1														Ľ													
43	I Report on photocathode test in SC cavity	1																•	30/	06									
44	4.2. 3 GHz RF gun	•	-																		-								
45	4.2.1. 3 GHz RF gun design	1				-			Ŀ.																				
46	Report on 3 GHz RF gun design	1							🐳 03	6/01																			
47	4.2.2. 3 GHz RF gun construction	1							Č			1																	
48	4.2.3. 3 GHz RF gun test	1										l 🎽																	
49	3 GHz RF gun test results	1											•	30/09															
50	Report on 3 GHz RF gun at CERN	1																			•	01/	12						
51	4.3. Spectrometer for e- beam	•	-																									-	
52	4.3.1.1-50 MeV Spectrometer design	1																											
53	4.3.2. 1-50 MeV Spectrometer construction	1		i i											h														
54	Report on low energy spectrometer	1													L										- 4	02/	07		
55	4.3.3. 0-1 GeV Spectrometer	1													Ĭ.														
56	Report on high energy spectrometer	1																										٠	03/1:

CARE

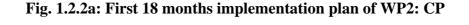
The Milestones of the project with the task number are listed in Table 1.2.1

Milestone Number	Date	Tasks or sub-tasks to be completed by milestone date	Task number
1	30/06/2004	Report on photocathode studies	2.1
	30/05/2004	Report on laser oscillator design	3.1.1
2	01/12/2004	Report on 3 GHz RF gun design	4.2.1
	20/12/2004	Laser oscillator test results	3.1.1
3	30/05/2005	Report on laser amplifier	3.1.2
	20/06/2005	Report on UV conversion crystal comparison	3.1.4
4	30/06/2005	Photocathode preparation equipment test	2.2
		Report on pulse shaper comparison	3.2
5	30/09/2005	Amplifier test results	3.1.3
		3 GHz RF gun test result and delivery to CERN	4.2.3
6	30/01/2006	3 GHz RF gun test results at CNRS-Orsay	4.2.3
7	31/03/2006	Laser system test results and delivery at CERN	3.1.5
8	30/06/2006	Report on photocathode test in SC cavity	2.4
9	01/12/2006	Report on 3 GHz RF gun commissioning at CERN	4.2.3
		Report on new photocathode materials tests	2.1
		Report on 3 GHz RF gun at CNRS-Orsay	4.2.3
10	30/06/2007	Report on low energy spectrometer performances	4.3.2
		Report on laser driven plasma source	2.5
11	01/12/2007	SC RF gun test results	4.1.4
		Report on high energy spectrometer	4.3.3

Table 1.2.1: PHIN-JRA Milestones

1.2.2 Detailed implementation for the first 18 months

The detailed implementation plans for the first 18 months of each Work Package are shown in the following Gantt charts (Fig. 1.2.2).



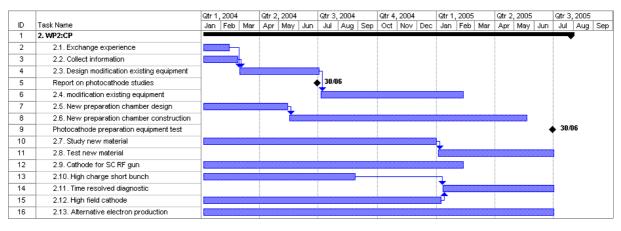
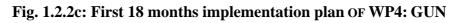


Fig. 1.2.2b: First 18 months implementation plan OF WP3: LAS

		Qtr 1	. 200	4	Qt	2,200	4	Qtr 3	. 2004	1	Qtr 4	. 2004		Qtr 1	. 2005		Qtr 2	2, 2005	i	G	tr 3
ID	Task Name		Fek			or May			Auc			Nov	Dec		Feb	Mar	Apr				Jul
1	3. WP4: LAS	_																		Y	
2	3.1. High power laser	_						-											_		
3	3.1.1.Exchange experience				÷.																
4	3.1.2. Oscillator design				Ь																
5	Report on laser oscillator design				ě	05/04															
6	3.1.3. Oscillator prototype realisation					, 						Ь									
7	3.1.4. Oscillator test												<u> </u> 1								
8	Laser oscillator test results												- 🐳	20/12							
9	3.1.5. Amplifier design						L														
10	3.1.6. Amplifier prototype construction						<u> </u>														
11	3.1.7. Amplifier test																		h		
12	Report on laser amplifier																		i 3 0/	05	
13	3.1.8. UV Conversion studies							¢۲.													
14	3.1.9. UV Conversion realisation) È					_	<u> </u>			-		1L		
15	3.1.10. Laser system test																		նոր		
16	Report on UV conversion crystal compari																		÷	20/)(
17	3.2. Pulse-shaper	_																		ب	
18	3.2.1.Exchange experience				1																
19	3.2.2. Simulation, LCM algorithm				4																
20	3.2.3. LCM mask acquisition									h.											
21	3.2.4. LCM mask test					1				Ľ	-										
22	3.2.5. Dazzler acquisition					- Ť					<u> </u>	L.									
23	3.2.6. Dazzler test											Č.								Ú.	



		1st G	uarter		2nd	Quarte	r	3rd G	uarter		4th Q	uarter			ùuarter		2nd G			3rd Q	uarter	
ID	Task Name	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
1	4. WP4: GUN				-															y		
2	4.1. SC RF gun	_																		<u>ب</u>		
3	4.1.1. Exchange experience				<u>.</u>	h																
4	4.1.2. High charge photoinjector study																					
5	4.1.3. SC RF gun design												L									
6	4.1.4. SC RF gun prototype construction												<u> </u>							ġ.		
7	4.2. 3 GHz RF gun	_																	_	•		
8	4.2.1. Study and design 3 GH RF gun						հ															
9	Report on 3 GHz RF gun design						T					•	▶ 01/ [*]	2								
10	4.2.2. Construction of 2 RF gun																					
11	4.2.3. Vacuum and RF test																:	h				
12	4.2.4. Preliminary test of charge production																	Ĭ		i i		
13	4.3. Spectrometer	_			-			-			-			_			-		_	•		
14	4.3.1. Study of 1-50 MeV spectrometer										h											
15	4.3.2. Realisation of componencts	1									¥						h					
16	4.3.3. Spectrometer Installation	1															Y					

2. QUALITY OF THE MANAGEMENT

2.1 Management and competence of the participants

Table 2.1.1 lists the work-packages and their coordinators.

Table2.1.1: List of work-packages and related coordinators

PHIN coordinator	A. Ghigo (INFN-LNF)
Deputy	L. Rinolfi (CERN)

Work Package	Full name	Short name	Coordinator	Laboratory
WP1	Management and Communication	M&C	A. Ghigo	INFN-LNF
WP2	Charge Production	СР	J. Teichert	FRZ-ELBE
WP3	Lasers	LAS	G. Hirst	CCLRC-RAL
WP4	RF Guns and Beam Dynamics	GUN	G. Bienvenu	CNRS-Orsay

The Steering Committee of the JRA is made up of: a Coordinator, a Deputy Coordinator and three work-package Coordinators: one for WP2-CP, one for WP3-LAS and one for WP4-GUN. The Steering Committee attends regular 2-day meetings three times per year and videoconferences in between.

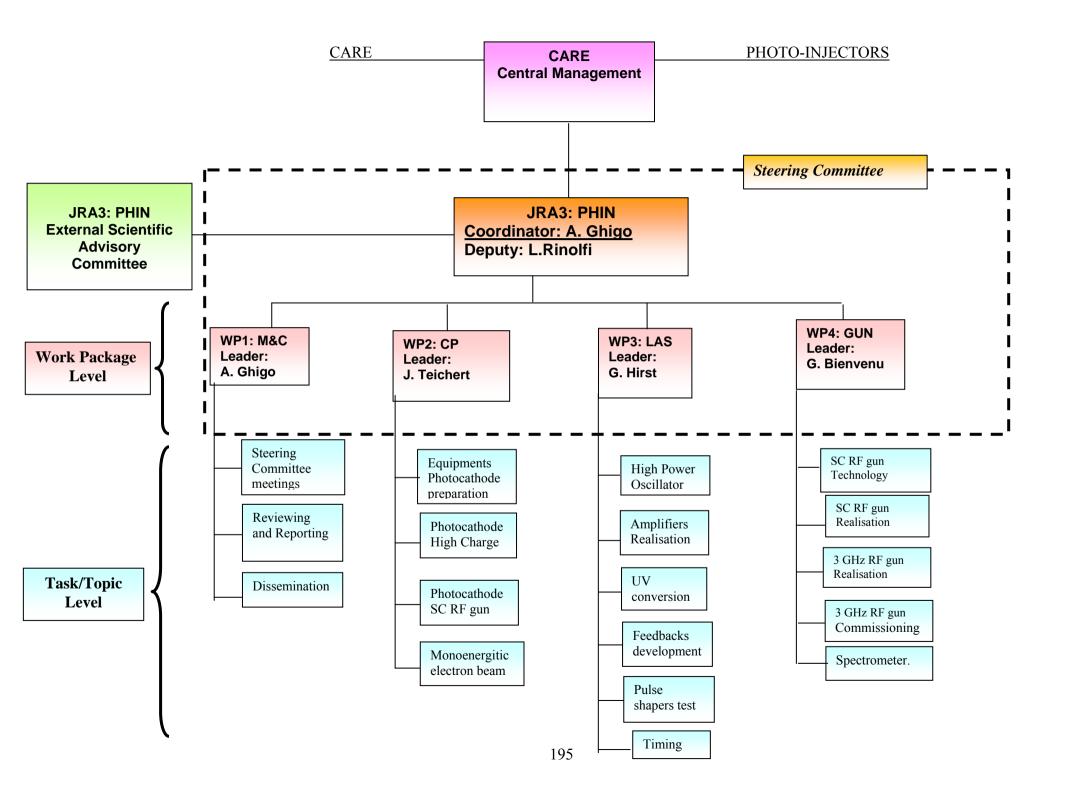
An External Scientific Review Committee, made up of 3 experts to be selected in the field, is foreseen in order to provide scientific evaluation of the results, milestones and achievements. Regular 2-day meetings with the members of the Review Committee will take place at least once a year.

Eight laboratories are collaborating to this JRA. They are all involved in one or more of the four activities covered by the work-packages on photo-injectors. They have developed important expertise in these subjects and they are ready to share this know-how with other participants. All the contractors have existing infrastructures, which can be used for the R&D on the JRA topics and brought to the disposal of the other institutes. Collaborations already exist, gathering some of the participants, which are now used to work in common.

Periodic meetings will be held for the development of the lasers, photo-cathodes and RF-guns, at a sufficient rate, so that designs can be developed, which satisfy all the participants. Dedicated meetings for each work-package will also take place, which may involve all the participants of the JRA, or only part of them. Information will be provided, on a dedicated web site, to all participants and to people working in the same areas.

The field of applications of the sources based on interaction of lasers with photomaterial within an RF field is extended to:

- High-energy physics for high-charge e⁻ beams, low-emittance beams for beam tests and future LC main beams,
- picosecond and femtosecond chemistry,
- Cancer therapy and medical imaging,
- Light sources,
- Longitudinally polarized electrons using circularly polarized laser for production.



2.1.2 Role and expertise of participants

Table 2.1.2a illustrates the participation of the different institutes in the Work Packages.Table 2.1.2a: participants for each work package

Institute	WP1: M&C	WP2: CP	WP3: LAS	WP4: GUN
CCLRC-RAL	X		X	
CERN	X	X	X	X
CNRS-Orsay	X	X	X	X
CNRS-LOA		X	X	X
FZR-ELBE	X	X		X
INFN-LNF	X		X	X
INFN-Mi			X	
TEU		X	X	

Table 2.1.2b shows the role of the participants and their research effort in the different work packages of the PHIN JRA in terms of professional person-months. The estimated total person-power needed to carry out the Activity is close to 90 years FTE.

Institute	WP/Topic	Roles	Person- months
CCRLC-RAL	WP1: M&C	WP3-LAS Coordinator	3
CCKLC-KAL	WP3: LAS	Design, construction and test of complete laser system	27
	WP1: M&C	JRA-PHIN Coordinator deputy	3
CERN	WP2: CP	Photo-cathode development	64
CERN	WP3: LAS	High power laser system for CTF3 commissioning	32
	WP4: GUN	CTF3 gun construction	50
	WP1: M&C	WP3-GUN Coordinator	3
CNRS-Orsay	WP2: CP	Photocathodes study	32
CINKS-OISay	WP3: LAS	Laser commissioning	51
	WP4: GUN	Gun prototype construction. Test stand diagnostics	207
	WP2: CP	Alternative photo electron production	60
CNRS-LOA	WP3: LAS	Pulse shaping test in existing laser system	0
	WP4: GUN	Measurement line for test with mono-energetic beams	78
	WP1: M&C	WP2-CP Coordinator	3
FZR-ELBE	WP2: CP	Preparation equipment, cathode development	84
	WP4: GUN	Study and construction of the SC prototype gun.	87
	WP1: M&C	JRA-PHIN Coordinator	6
INFN-LNF	WP3: LAS	Laser-RF Synchronisation. Feedback	48
	WP4: GUN	Beam Dynamics studies	72
INFN-MI	WP3: LAS	Waveform for laser ultra-short pulses	96
TEU	WP2: CP	Photocathode development	46
IEU	WP3: LAS	Laser test for high current	10

Table 2.1.2b: Participants roles in each work package

In Table 2.1.2c, the expertise and the existing infrastructures of the participating institutes are briefly summarized.

Institute	Specific Expertise	Infrastructure
CCRLC-RAL	Central laser facility in the forefront of the field, most important laser center in the world, specialized in very high power laser systems.	
CERN	Accelerator Design and technology. Construction and operation of photoinjector. Photocathode technology. CTF3 test facility for drive-beam recombination scheme	CTF3
CNRS-Orsay	Design and construction of electron injectors for CERN and DESY, photo RF gun, test stand with photo-injector (NEPAL).	NEPAL
CNRS-LOA	Laser development and production of charged particles by means of a laser plasma. Acceleration in the laser wake field. Ti:Sa power-lasers.	
FZR-ELBE	Development and operation of an RF photoinjector with superconducting cavity, ELBE electron linear accelerator and SRF gun test-stand.	ELBE
INFN-LNF	Accelerator design and technology. Normal conducting linac. Test beam facility. High brightness photo-injectors R&D.	
INFN-Mi	Manipulation of the laser output pulses, study of ultrafast optical waveforms.	
TEU	Photocathode preparation chamber. High power laser systems. Free Electron Laser and accelerator physics	TEU-FEL

Table 2.1.3: Participants expertise and relevant infrastructure

References

CCLRC

The Photo-Injector Option for CLIC: Past Experiments and Future Developments H.H. Braun, E. Chevallay, S. Hutchins, P. Legros, G. Suberlucq, H.Trautner, CERN, Geneva, Switzerland, I.N. Ross, Central Laser Facility, RAL, Didcot, U.K., E. Bente, University of Strathclyde, Institute of Photonics, Glasgow, U.K., Proceedings of the 2001 Particle Accelerator Conference, Chicago, p. 720.

A Laser System Design for the Photo-injector Option for the CERN Linear Collider: I. Ross, Central Laser Facility, Rutherford Appleton Laboratory (GB), S. Hutchins, CERN/PS/PP, Central Laser Facility Annual Report 2000/2001 p. 184 – 187.

CERN

The photo-injector option for CLIC : past experiments and future developments: Braun, H H ; Chevallay, E ; Hutchins, S ; Legros, P ; Suberlucq, G ; Trautner, H ; Ross, I N ; Bente, E ; CERN-PS-2001-033-PP ; CLIC-Note-487. - Geneva : CERN, 16 Jul 2001.

In: IEEE Particle Accelerator Conference, Chicago, IL, USA, 18 - 22 Jun 2001 - IEEE, New York, NY, 2002. - pp.e-proc. 720

Production and studies of photo-cathodes for high intensity electron beams: Chevallay, E; Hutchins, S; Legros, P; Suberlucq, G; Trautner, H; physics/0008061; CERN-PS-2000-046-PP; CLIC-Note-449. - Geneva : CERN, 15 Aug 2000. - 4 p.,20th International Linear Accelerator Conference, Monterey, CA, USA, 21 - 25 Aug 2000 [SLAC-R-561] - pp.e-proc. MOB08.

CNRS-Orsay

ELYSE - An Intense Electron Linac for Pulsed Radiolysis Research T. Garvey, M. Bernard, H. Borie, J.-C. Bourdon, B. Jacquemard, B. Leblond, P. Lepercq, M. Omeich, M. Roch, J. Rodier, R. Roux, LAL, Orsay; F. Gobert, H. Monard, LCP, Orsay EPAC 2002, p. 254

A Laser Triggered Electron Source for Pulsed Radiolysis -- H. Monard, J.C. Bourdon, J. Le Duff, T. Garvey, B. Mouton, J. Rodier, Y. Thiery, Laboratoire de l'Accelerateur Lineaire, Universite de Paris-Sud, Orsay, France; M. Gaillard, Laboratoire de Photophysique Moleculaire, Universite de Paris-Sud, Orsay, France. PAC ,99, p.2012

CNRS-LOA/ENSTA

Electron acceleration by a wake field forced by an intense ultra-short laser pulse: V. Malka, S. Fritzler, E. Lefebvre, M.M. Aleonard, F. Burgy, J.P. Chambaret, J.F. Chemin, K. Krushelnick, G. Malka, S.P.D. Mangles, Z. Najmudin, M. Pittman, J.P. Rousseau, J.N. Scheurer (Ecole Polytechnique & Bruyeres-le-Chatel, CEA & Bordeaux-Gradignan, CEN & Bordeaux U.), B. Walton, A.E. Dangor (Imperial Coll., London). 2002. Published in Science 298:1596-1600,2002

A broadband electron spectrometer and electron detectors for laser accelerator experiments: C.E. Clayton, K.A. Marsh, C. Joshi, C.B. Darrow, A.E. Dangor, A. Modena, Z. Najmudin, V. Malka (UCLA). 16th IEEE Particle Accelerator Conference (PAC 95) and International Conference on High-energy Accelerators (IUPAP), Dallas, Texas, 1-5 May 1995. PAC, vol. 1* 637-639

FZR

Commissioning of the ELBE superconducting electron linac:

P. Michel, A. Buchner, P. Evtushenko, F. Gabriel, U. Lehnert, J. Teichert and J. Voigl. http://accelconf.web.cern.ch/AccelConf/e02/PAPERS/TUPRI110.pdf - 60.8KB.

Superconducting RF photo-injector:

D. Janssen, H. Büttig, P. Evtushenko, M. Freitag, F. Gabriel, B.

Hartmann, U. Lehnert, P. Michel, K. Möller, T. Quast, B. Reppe, A.

Schamlott, Ch. Schneider, R. Schurig, J. Teichert, FZR Dresden, S.

Konstantinov, S. Kruchkov, A. Kudryavtsev, O. Myskin, V. Petrov, A.

Tribendis, V. Volkov, BINP Novosibirsk, W. Sandner, I. Will, MBI Berlin,

A. Matheisen, W. Moeller, DESY Hamburg, M. Pekeler, P. v. Stein, ACCEL

Inc., Ch. Haberstroh, TU Dresden, Nucl. Instr. and Meth. A 507(2003)314-317

INFN-LNF

CTF3 compressor system: D. Alesini, C. Biscari, R. Boni, A. Clozza, G. Delle Monache, G. Di Pirro, A. Drago, A. Gallo, A. Ghigo, F. Marcellini, C. Milardi, M. Preger, C. Sanelli, F. Sannibale, M. Serio, F. Sgamma, A. Stecchi, A. Stella, M. Zobov, R. Corsini: " presented at EPAC2002, 3-7 June 2002, Paris, France. **Low emittance photoinjectors**. M. Ferrario INFN-LNF. Nucl. Instrum. Meth. A472:

303-308,2001

INFN-Mi

Efficient photoemission from robust ferroelectric ceramics: I. Boscolo (INFN-Milan), M. Castellano, L. Catani, M. Ferrario, F. Tazzioli (LNF), L. Giannessi (ENEA, Frascati). LNF-99-013-P-F, Mar 1999. Contributed to IEEE Particle Accelerator Conference (PAC 99), New York, 29 Mar - 2 Apr 1999. Particle Accelerator, vol. 3* 1985-1987

Photo-cathodes: the state of the art and some news: I. Boscolo, P. Michelato (Milan U. & INFN, Milan). 2000. 21st International Conference on Free Electron Laser and 6th FEL Applications Workshop (FEL 99), Hamburg, Germany, 23-28 Aug 1999. Nucl.Instrum.Meth.A445:389-393,2000

Twente University

K-Te photocathodes: A new electron source for photoinjectors Bisero D, vanOerle BM, Ernst GJ, et al. J APPL PHYS 82 (3): 1384-1387 AUG 1 1997 High efficiency photoemission from Cs-K-Te Bisero D, vanOerle BM, Ernst GJ, et al. APPL PHYS LETT 70 (12): 1491-1493 MAR 24 1997 Photoemission from K-Te photocathodes Bisero D, vanOerle BM, Ernst GJ, et al. APPL PHYS LETT 69 (24): 3641-3643 DEC 9 1996

2.2 Justification of financing requested

2.2.1 PHIN-JRA budget

Among the eight participants of the PHIN-JRA, four are using the Additional Cost model (AC) and four the Full Cost model (FC). The total expected budget is 6.001 M \in , the requested EU funding is 3.542 M \in . More than one third of the requested budget will be dedicated to temporary post-doc contracts: this will contribute to the improvement of skill and expertise of young physicists in a strategic domain for accelerators.

Table 2.2.1a summarizes the budget requested from the European Union for each participating Institute, while Table 2.2.1b shows the additional internal cost of the project for participants using the AC cost model.

T	Cost Model	WP1: M&C		WP2: CP		WP3: LAS		WP4: GUN		Total	
Institute		Exp. Cost	Req. Cost								
CCRLC-RAL	FC	15	10	0	0	363	105	0	0	378	115
CERN	AC	20	20	50	45	970	948	200	165	1240	1178
CNRS-Orsay	FC	40	15	330	210	427	225	1318	305	2115	755
CNRS-LOA	FC	0	0	10	10	5	5	995	425	1010	440
CNRS SubTotal	FC	40	15	340	220	432	230	2068	730	3125	1195
FZR-ELBE	AC	15	15	265	245	0	0	130	120	410	380
INFN-LNF	AC	35	35	0	0	60	60	180	180	275	275
INFN-MI	AC	0	0	0	0	225	225	0	0	225	225
INFN SubTotal	AC	35	35	0	0	285	285	180	180	500	500
TEU	FC	0	0	348	174	0	0	0	0	348	174
Total		125	95	1003	684	2050	1568	2578	1195	6001	3542

Table 2.2.1a: Budget requested from the European Union (k€).

Table 2.2.1b Internal costs not accounted for in above table for participants using the AC cost model (k€).

Institute	Cost Contribution
CERN	1300 (*)
FZR	735
INFN-LNF	525
INFN-Mi	350
Total	2910

(*) This corresponds to 1980 kCHF.

The cost breakdown for each Institute and each Work Package is shown in Table 2.2.1c.						
Table 2.2.1c: PHIN-JRA costs per participant (k€.						

Table 2.2.1c: PHIN-JRA costs per participant (K Q).								
Laboratory	CCLRC- RAL	CERN	CNRS- ORSAY	CNRS- LOA	FZR- ELBE	INFN- LNF	INFN-Mi	TEU
Cost model	FC	AC	FC	FC	AC	AC	AC	FC
Durable equipment	0	0	250	0	0	0	0	0
Consumables & prototypes	0	1135	410	325	190	85	65	50
Temporary Staff	246	75	200	100	200	150	150	119
Permanent Staff	117	/	1230	570	/	/	/	174
Travels	15	30	25	15	20	40	10	5
Total	378	1240	2115	1010	410	275	225	348
Requested contribution	115	1178	755	440	380	275	225	174

The profile of requested EU funding over four years of the PHIN-JRA duration is shown in Table 2.2.1d.

Institute	2004	2005	2006	2007	Total
CCRLC-RAL	55	50	10	0	115
CERN	650	280	190	58	1178
CNRS-LAL	350	280	80	45	755
CNRS-LOA	100	130	130	80	440
CNRS SubTotal	450	410	210	125	1195
FZR-ELBE	120	140	100	20	380
INFN-LNF	90	90	80	15	275
INFN-MI	80	70	60	15	225
INFN SubTotal	170	160	140	30	500
TEU	100	50	20	4	174
Total	1545	1090	670	237	3542

Table 2.2.1d Profile of Requested EU Funding over JRA Duration (k€).

2.2.2 The largest part of the requested EU funding is for consumables and prototype completely dedicated to this project.

3. EUROPEAN ADDED VALUE

3.1 Interest for European research infrastructures and their users

The R&D activities on photoinjector proposed in this JRA are devoted to improve the performances of the new generation of electron injectors for future accelerators. The project results are mainly addressed to the high-energy linear colliders community. Nevertheless, all European infrastructures that are involved in the accelerator physics and related uses should be extremely interested in the exploitation of the JRA results.

The existing infrastructures that immediately benefit of the JRA on photoinjector are:

CTF3 (CLIC test facility) is an intermediate step to demonstrate the technical feasibility of the key concepts of the new power source for CLIC. CTF3 will be used to test the CLIC critical components and in particular will provide the 30 GHz RF power needed to test the main beam accelerating structure. The photoinjector will be an important upgrade of this facility allowing:

- Flexibility in manipulating the time structure of the electron beam.
- Smaller transverse and longitudinal emittances, resulting in more efficient beam transport and bunch length manipulation.
- No low energy tails.
- Dramatic reduction of radiation losses.

NEPAL is a multipurpose RF test stand. The new photoinjector will be a major improvement in order to test new beam dynamics models, instrumentation and diagnostics.

ELBE is a super-conducting RF test stand. The SC RF Gun developed in the JRA allows to use such SC RF gun in the TESLA project and in the FEL community due to:

- Small transverse and longitudinal emittances.
- High charge electron pulse.

TEU FEL is a Free Electron Laser emitting in the far-infrared range. The new photocathode material developed in the JRA could permit to increase significantly the brightness of this source and improve stability and operation time of photo cathodes.

3.2 Exploitation of results

The aim of the PHIN activities is to study, design and realize a new series of photoinjectors for the applications described before. The first prototype of the entire system made up of laser, photo-cathode and RF gun will be immediately applicable, as direct exploitation, in the CLIC Test Facility (CTF3). The second prototype will be used for improving the performance of the NEPAL test stand and the superconducting RF gun will improve the ELBE test stand with large benefits for all the infrastructure users.

The results of the PHIN-JRA will be freely available to the entire scientific community. The major benefits for other communities come from the studies on the more challenging characteristics of the different components of the photoinjector system (*i.e.*, very stable high power laser, high efficiency and long lifetime photocathode, etc.). The potential users are in the following research fields:

• light sources and free-electron lasers,

- medical imaging,
- picosecond and femtosecond chemistry,
- cancer therapy,
- high brightness e beams.

3.3 Risk assessment

In the JRA on photoinjector, different technologies are developed in parallel by several laboratories. Because of the system complexity and the expected performance improvements with respect to the existing technologies, a risk evaluation is needed, even if each institute expertise and role in the collaboration minimize the risk of project failure. In the following table, the list of research topics are presented together with the estimated risk and the possible corrective action. The estimated risk level for the items is 1 or 2.

Risk Level	Meaning				
RL1	Low Risk: minor error might happen, could be easily corrected since no technical				
	challenge is involved. Scope of the project is unchanged. Minor impact on schedule				
	(<3 months) or cost (<5%) might be necessary.				
RL2	Medium Risk: A small downgrading of the final objectives might be required.				
	Alternatively a small delay (<1 year) and cost increase might be necessary (<20%) to				
	naintain the initial objectives.				
RL3	High Risk: Significant downgrading of the objectives might be required. Alternatively				
	more R&D, more time (>1 year) and more money (>20%) would be required.				

Table 3.3a: Definition of risk level

Table 3.3b: PHIN activities risk levels

Activity	Task	risk level	corrective action
WP2:CP	Photocathode high charge	1	Add more time for development
	Photocathode for SC cavities	2	Revert to more standard technique
	50 MeV monoenergetic beam	2	Add more time and investment for development
	100 MeV monoenergetic beam	2	Add more time and investment for development
WP3:LAS	Amplifier	2	Add more time and investment for development
	UV harmonic generators	1	Lower the requested performance
WP4:GUN	3 GHz gun delivery and test	1	Add more time for commissioning